

# Ph 219c/CS 219c

## Exercises

Due: Monday 1 June 2009

### 9.1 Positivity of quantum relative entropy

- a) Show that  $\ln x \leq x - 1$  for all positive real  $x$ , with equality iff  $x = 1$ .  
 b) The (classical) relative entropy of a probability distribution  $\{p(x)\}$  relative to  $\{q(x)\}$  is defined as

$$H(p \parallel q) \equiv \sum_x p(x) (\log p(x) - \log q(x)) . \quad (1)$$

Show that

$$H(p \parallel q) \geq 0 , \quad (2)$$

with equality iff the probability distributions are identical. **Hint:** Apply the inequality from (a) to  $\ln(q(x)/p(x))$ .

- c) The quantum relative entropy of the density operator  $\rho$  with respect to  $\sigma$  is defined as

$$H(\rho \parallel \sigma) = \text{tr } \rho (\log \rho - \log \sigma) . \quad (3)$$

Let  $\{p_i\}$  denote the eigenvalues of  $\rho$  and  $\{q_a\}$  denote the eigenvalues of  $\sigma$ . Show that

$$H(\rho \parallel \sigma) = \sum_i p_i \left( \log p_i - \sum_a D_{ia} \log q_a \right) , \quad (4)$$

where  $D_{ia}$  is a doubly stochastic matrix. Express  $D_{ia}$  in terms of the eigenstates of  $\rho$  and  $\sigma$ . (A matrix is doubly stochastic if its entries are nonnegative real numbers, where each row and each column sums to one.)

- d) Show that if  $D_{ia}$  is doubly stochastic, then (for each  $i$ )

$$\log \left( \sum_a D_{ia} q_a \right) \geq \sum_a D_{ia} \log q_a , \quad (5)$$

with equality only if  $D_{ia} = 1$  for some  $a$ .

e) Show that

$$H(\rho \parallel \sigma) \geq H(p \parallel r) , \quad (6)$$

where  $r_i = \sum_a D_{ia} q_a$ .

f) Show that  $H(\rho \parallel \sigma) \geq 0$ , with equality iff  $\rho = \sigma$ .

## 9.2 Properties of Von Neumann entropy

a) Use nonnegativity of quantum relative entropy to prove the *subadditivity* of Von Neumann entropy

$$H(\rho_{AB}) \leq H(\rho_A) + H(\rho_B), \quad (7)$$

with equality iff  $\rho_{AB} = \rho_A \otimes \rho_B$ . **Hint:** Consider the relative entropy of  $\rho_{AB}$  and  $\rho_A \otimes \rho_B$ .

b) Use subadditivity to prove the concavity of the Von Neumann entropy:

$$H\left(\sum_x p_x \rho_x\right) \geq \sum_x p_x H(\rho_x) . \quad (8)$$

**Hint:** Consider

$$\rho_{AB} = \sum_x p_x (\rho_x)_A \otimes (|x\rangle\langle x|)_B , \quad (9)$$

where the states  $\{|x\rangle_B\}$  are mutually orthogonal.

c) Use the condition

$$H(\rho_{AB}) = H(\rho_A) + H(\rho_B) \quad \text{iff} \quad \rho_{AB} = \rho_A \otimes \rho_B \quad (10)$$

to show that, if all  $p_x$ 's are nonzero,

$$H\left(\sum_x p_x \rho_x\right) = \sum_x p_x H(\rho_x) \quad (11)$$

iff all the  $\rho_x$ 's are identical.

d) Use subadditivity to prove the triangle inequality:

$$H(\rho_{AB}) \geq |H(\rho_A) - H(\rho_B)| . \quad (12)$$

**Hint:** Construct a “purification” of  $\rho_{AB}$  — introduce a third system  $C$  and consider  $|\Phi\rangle_{ABC}$  such that

$$\text{tr}_C (|\Phi\rangle\langle\Phi|) = \rho_{AB} ; \quad (13)$$

then use the subadditivity relations  $H(\rho_{BC}) \leq H(\rho_B) + H(\rho_C)$  and  $H(\rho_{AC}) \leq H(\rho_A) + H(\rho_C)$ .

### 9.3 Coherent information and entanglement fidelity

A criterion for the reversibility of the effect of a quantum channel  $\mathcal{N}^{A \rightarrow B}$  on the input state  $\rho^A$  can be formulated in terms of the coherent information  $I_c$ . Let  $\phi^{RA}$  be a purification of  $\rho^A$ , where  $R$  is a reference system; the channel maps  $\phi^{RA}$  to the output  $\sigma^{RB}$ , which has purification  $\psi^{RBE}$ . Here system  $E$  can be regarded as the environment, where the channel  $\mathcal{N}$  is realized as an isometry  $U^{A \rightarrow BE}$ . There is a decoding map  $\mathcal{D}^{B \rightarrow C}$  that restores the purity of the state on  $RC$  if and only if

$$I_c(R \rangle B) \equiv H(B) - H(RB) = H(R) , \quad (14)$$

or equivalently

$$H(RE) = H(R) + H(E) , \quad (15)$$

where the entropy is evaluated in the output state  $\psi^{RBE}$ . That is, the effect of  $\mathcal{N}^{A \rightarrow B}$  on input  $\rho^A$  is perfectly reversible if and only if the output state of the environment is uncorrelated with the reference system. That makes sense — there will be irreversible decoherence if and only if information about the input state leaks to the environment.

Naturally, we expect that if the effect of the channel is *nearly* reversible, then the criterion eq. (14) is *nearly* satisfied. The purpose of this problem is to make this observation more precise.

a) Show that

$$H(R) - I_c(R \rangle B) \leq 2H(RC) . \quad (16)$$

Therefore, if the decoder's output (the state of  $RC$ ) is almost pure, then the coherent information of the channel  $\mathcal{N}$  comes close to matching the input entropy. **Hint:** Use the data processing inequality

$$I_c(R \rangle C) \leq I_c(R \rangle B) , \quad (17)$$

and the subadditivity of von Neumann entropy. It is convenient to consider the pure joint state of the reference system, output, and environment. Do *not* assume (because it is not true) that the environment  $E$  used in the realization of the noisy channel  $\mathcal{N}$  is uncorrelated with the environment  $E'$  used in the realization the decoder  $\mathcal{D}$ .

- b) In a  $d$ -dimensional system, suppose that the state  $\rho$  has fidelity  $F = 1 - \varepsilon$  with the pure state  $|\psi\rangle$ :

$$F = \langle \psi | \rho | \psi \rangle = 1 - \varepsilon . \quad (18)$$

Show that

$$H(\rho) \leq H_2(\varepsilon) + \varepsilon \log_2(d - 1) , \quad (19)$$

where  $H_2(\varepsilon) = -\varepsilon \log_2 \varepsilon - (1 - \varepsilon) \log_2(1 - \varepsilon)$  is the binary Shannon entropy. **Hint:** Recall that if the random variable  $X$  describes the outcome of a complete orthogonal measurement performed on the state  $\rho$ , then  $H(\rho) \leq H(X)$ , where  $H(X)$  is the Shannon entropy of  $X$ .

- c) The *entanglement fidelity*  $F_e$  provides a useful way to quantify how much the quantum channel  $\mathcal{N}^{A \rightarrow B}$  deviates from the identity channel. Channel input  $\rho^A$  has purification  $\phi^{RA}$ , which is mapped by  $\mathcal{N}$  to  $\sigma^{RB}$ . The entanglement fidelity, defined as

$$F_e(\rho^A, \mathcal{N}) \equiv \text{tr}(\phi^{RB} \sigma^{RB}) , \quad (20)$$

does not depend on how the purification is chosen.  $F_e = 1$  if the channel preserves its input, and  $F_e$  is close to 1 if the output is close to the input. Suppose that

$$F_e(\rho^A, \mathcal{D} \circ \mathcal{N}) = 1 - \varepsilon , \quad (21)$$

where  $\mathcal{N}^{A \rightarrow B}$  is a noisy channel and  $\mathcal{D}^{B \rightarrow C}$  is the decoding map. Show that

$$H(R) - I_c(R \rangle B) \leq 2H_2(\varepsilon) + 2\varepsilon \log_2(d^2 - 1) , \quad (22)$$

where  $d = \dim R = \dim C$ .

- d) To define the quantum capacity  $Q(\mathcal{N})$  of a noisy channel  $\mathcal{N}^{A \rightarrow B}$ , we can use entanglement fidelity rather than fidelity as the criterion for asymptotically successful quantum communication. The rate  $M$  may be said to be achievable if, by using the channel  $n$  times,  $nM$  qubits can be transmitted from  $A$  to  $B$  such that, after decoding, the entanglement fidelity is arbitrarily close to 1 for  $n$  sufficiently large. The quantum capacity  $Q(\mathcal{N})$  is the supremum of achievable rates. This definition of the capacity  $Q(\mathcal{N})$  is equivalent to the definition where the fidelity rather than the

entanglement fidelity is required to approach 1 as  $n \rightarrow \infty$  (you are not asked to prove this equivalence). For  $n$  independent uses of the channel, let  $R^{(n)}$  denote a reference system that purifies the input state on  $\rho^{A^{\otimes n}}$ . Show that

$$Q(\mathcal{N}) \leq \lim_{n \rightarrow \infty} \max_{\rho^{A^{\otimes n}}} \left( \frac{1}{n} I_c \left( R^{(n)} \rangle B^{\otimes n} \right) \right). \quad (23)$$

**Hint:** Consider an input density operator to  $\mathcal{N}^{\otimes n}$  that is uniform on the subspace of dimension  $2^{nM}$  that can be transmitted reliably.

**Remark:** The resource inequality

$$\langle \mathcal{N}^{A \rightarrow B} : \rho^A \rangle \geq I_c(R \rangle B)[q \rightarrow q] \quad (24)$$

shows that the inequality eq. (23) is actually an equality. But unfortunately, because of the superadditivity of coherent information, this result does not yield a single-letter formula for the quantum capacity  $Q(\mathcal{N})$ .

#### 9.4 Entanglement of typical bipartite pure states

Suppose that a pure state is chosen at random on the bipartite system  $AB$ , where  $d_A/d_B \ll 1$ . Then with high probability the density operator on  $A$  will be very nearly maximally mixed. The purpose of this problem is to derive this property.

To begin with, we will calculate the value of  $\langle \text{tr} \rho_A^2 \rangle$ , where  $\langle \cdot \rangle$  denotes the average over all pure states  $\{|\varphi\rangle\}$  of  $AB$ , and  $\rho_A = \text{tr}_B (|\varphi\rangle\langle\varphi|)$ .

a) It is convenient to evaluate  $\text{tr} \rho_A^2$  using a trick. Imagine introducing a copy  $A'B'$  of the system  $AB$ . Show that

$$\text{tr}_A \rho_A^2 = \text{tr}_{AB A' B'} [(S_{AA'} \otimes I_{BB'}) (|\varphi\rangle\langle\varphi|)_{AB} \otimes |\varphi\rangle\langle\varphi|_{A' B'}] , \quad (25)$$

where  $S_{AA'}$  denotes the swap operator

$$S_{AA'} : |\varphi\rangle_A \otimes |\psi\rangle_{A'} \mapsto |\psi\rangle_A \otimes |\varphi\rangle_{A'} . \quad (26)$$

b) We wish to average the expression found in (a) over all pure states  $|\varphi\rangle$ . Rather than go into the details of how such an average is defined, I will simply assert that

$$\langle |\varphi\rangle\langle\varphi|_A \otimes |\varphi\rangle\langle\varphi|_{A'} \rangle = C \Pi_{AA'} , \quad (27)$$

where  $C$  is a constant and  $\Pi_{AA'}$  denotes the projector onto the subspace of  $AA'$  that is *symmetric* under interchange of  $A$  and  $A'$ . Eq. (27) can be proved using invariance properties of the average and some group representation theory, but I hope you will regard it as obvious. The state being averaged is symmetric, and the average should not distinguish any symmetric state from any other symmetric state. Express the constant  $C$  in terms of the dimension  $d \equiv d_A = d_{A'}$ .

- c) Use the property  $\Pi_{AA'} = \frac{1}{2}(I_{AA'} + S_{AA'})$  to evaluate the expression found in (a). Show that

$$\langle \text{tr } \rho_A^2 \rangle = \frac{d_A + d_B}{d_A d_B + 1}. \quad (28)$$

- d) Now estimate the average  $L^2$  distance of  $\rho_A$  from the maximally mixed density operator  $\frac{1}{d_A}I_A$ , where  $\|M\|_2 = \sqrt{\text{tr} M^\dagger M}$ ; show that

$$\left\langle \left\| \rho_A - \frac{1}{d_A}I_A \right\|_2 \right\rangle \leq \frac{1}{\sqrt{d_B}}. \quad (29)$$

**Hints:** First estimate  $\left\langle \left\| \rho_A - \frac{1}{d_A}I_A \right\|_2^2 \right\rangle$  using eq. (28) and the obvious property  $\langle \rho_A \rangle = \frac{1}{d_A}I_A$ . Then show that for any nonnegative function  $f$ , it follows from the Cauchy-Schwarz inequality that  $\langle \sqrt{f} \rangle \leq \sqrt{\langle f \rangle}$ , and use this property to estimate  $\left\langle \left\| \rho_A - \frac{1}{d_A}I_A \right\|_2 \right\rangle$ .

- e) Finally, estimate the average  $L^1$  distance of  $\rho_A$  from the maximally mixed density operator, where  $\|M\|_1 = \text{tr} \sqrt{M^\dagger M}$ . Use the Cauchy-Schwarz inequality to show that  $\|M\|_1 \leq \sqrt{d} \|M\|_2$ , if  $M$  is a  $d \times d$  matrix, and that therefore

$$\left\langle \left\| \rho_A - \frac{1}{d_A}I_A \right\|_1 \right\rangle \leq \sqrt{\frac{d_A}{d_B}}. \quad (30)$$

It follows from (d) that the average entanglement entropy of  $A$  and  $B$  is close to maximal for  $d_A/d_B \ll 1$ :  $\langle H(A) \rangle \geq \log_2 d_A - d_A/2d_B \ln 2$ , though you are not asked to prove this bound. Thus, if for example  $A$  is 50 qubits and  $B$  is 100 qubits, the typical entropy deviates from maximal by only about  $2^{-50} \approx 10^{-15}$ .